








As one of the largest qualified retirement plan platforms in the country, we offer many plan design options to help create a plan that can meet any client’s needs. Ascensus, a leading retirement plan solutions provider, will act as the pre-approved sponsor for your client’s retirement plan document. Our services allow you to focus on your client while our experts focus on the IRS-required pre-approved plan document sponsorship duties, ongoing document maintenance, recordkeeping services for Individual(k)[™] plans (if selected), and ever-changing document compliance.

Ascensus Retirement Services	Document Only Services	Individual(k) Document and Recordkeeping Services
Online Plan Establishment Kit	Yes	Yes
Pre-approved plan document	Yes	Yes
Required future document amendments	Yes	Yes
Client Service Team	Yes	Yes
Recordkeeping and administration	No	Yes
Contribution, loan, and distribution processing	No	Yes
IRS Form 5500	No	Yes
IRS Form 1099-R	No	Yes

Fee Schedule	Document Only Services	Individual(k) Document and Recordkeeping Services Plan and Per Participant Fees*
Plan setup (one-time fee)	\$150	\$125
Annual fee	\$150	\$375 \$150 for an additional participant
Loan fee	N/A	\$150 per loan
Distribution fee	N/A	\$50 per distribution
Plan amendment fee	\$35	\$75
Termination fee	N/A	\$150
Voluntary Correction Program fee	Fee varies based on correction needs. Contact Ascensus for a quote.	

*Participants can include business owners and their spouses.

Contact Ascensus today to initiate services.

Document Compliance Services	 866-775-9118	 PershingPlanDocs@ascensus.com
Individual(k) Recordkeeping Services	 800-345-6363, option 1	 individual_k@ascensus.com
Shared URL	 https://dcs.ascensus.com/BNYMellonPershing	